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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 55.00)

**Complete if Known**

Application Number	09/759,579
Filing Date	01/12/2001
First Named Inventor	Robert F. Heard
Examiner Name	Horton, Yvonne Michele
Art Unit	3635
Attorney Docket No.	91805001

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee			
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
<b>SUBTOTAL (1)</b>		<b>(\$ 0)</b>			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ 0)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			55.00
1252 420	2252 210	Extension for reply within second month			
1253 950	2253 475	Extension for reply within third month			
1254 1,480	2254 740	Extension for reply within fourth month			
1255 2,010	2255 1,005	Extension for reply within fifth month			
1401 330	2401 165	Notice of Appeal			
1402 330	2402 165	Filing a brief in support of an appeal			
1403 290	2403 145	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,330	2453 665	Petition to revive - unintentional			
1501 1,330	2501 665	Utility issue fee (or reissue)			
1502 480	2502 240	Design issue fee			
1503 640	2503 320	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))			
1801 770	2801 385	Request for Continued Examination (RCE)			
1802 900	1802 900	Request for expedited examination of a design application			
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>		<b>(\$ 55.00)</b>			

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Barry E. Kaplan	Registration No. (Attorney/Agent)	38,934	Telephone	770-541-7444
Signature	<i>Barry E. Kaplan</i>			Date	12/19/2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patents  
Serial Number: 09/759,579  
Group Art Unit: 3635

IN THE UNITED STATES DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

In the Application of:  
**Heard, et al**

Serial Number:  
**09/759,579**

Filed:  
**01/12/2001**

For:  
**Window and Door Frame  
Brickmould Having Integral J-Channel**

*Please extend my 3635  
12/19/04*

Group Art Unit:  
**3635**

Examiner:  
**Horton, Yvonne M.**  
**703-308-1909**

**RECEIVED**  
**JAN 12 2004**  
**GROUP 3635**

Attorney Docket No.  
**91805001**

Dated: December 19, 2003

Commissioner for Patents  
Mail Stop: FEE  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Myers & Kaplan  
Intellectual Property Law, LLC  
1899 Powers Ferry Road, Ste. 310  
Atlanta, Georgia 30339

CERTIFICATE OF MAILING

I hereby certify that this correspondence, along with any papers referred to therein as being attached or enclosed therewith, is being deposited with the United States Postal Service with sufficient postage as First Class Mail with sufficient postage thereon to assure delivery in an envelope addressed to the Commissioner for Patents, Mail Stop: FEE, P.O. Box 1450, Alexandria, Virginia 22313-1450 on:

December 19, 2003  
(Date)

Barry E. Kaplan, Esq. (Reg. No. 38,934)  
(Printed or Typed Name of the person  
mailing the paper or fee)

Barry E. Kaplan  
(Signature of the person mailing the  
paper or fee)

**APPLICANTS' RESPONSE TO OFFICIAL ACTION**  
**Having Mailing Date of 08/19/2003**

Sir:

Come now the Applicants in the above styled matter and file this Response to the Official Action ("Response") having mailing date of August 19, 2003. This Response is filed under Petition for One Month Extension and is accompanied by a small entity fee of \$55.00 for said Extension. Also filed herewith is a Change of Correspondence Address occasioned by undersigned counsel's recent office move. Applicant respectfully submits that the present amendments and/or arguments distinguish the claims over the prior art and, thus, places the application in condition for allowance.

(Continues next page)